

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trudemark Office Addres: COMMISSIONER FOR PATENTS P.O. Dex 1450 Alexandria, Viginia 22313-1450

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/829,598

IRVINE, CA 92614

04/22/2004

Jason A. Graetz

CTJPL.010A

CONFIRMATION NO. 6855

20995 KNOBBE MARTENS OLSON & BEAR LLP 2040 MAIN STREET FOURTEENTH FLOOR

FORMALITIES LETTER

OC000000013092921*

Date Mailed: 06/28/2004



OTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1,63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

\$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

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65.00 UP

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



Case Docket No. CTJPL.010A

Date: July 15, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Jason A. Graetz, et al.

App. No.

10/829,598

Filed

April 22, 2004

For

HIGH-CAPACITY

NANOSTRUCTURED

GERMANIUM-CONTAINING

MATERIALS AND LITHIUM

ALLOYS THEREOF

Group Art Unit: 1745

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

July 15, 2004

P**å**i Tong Ho, R

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

ATTENTION: MAIL STOP MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on June 28, 2004, enclosed are:

- (X) An executed Declaration by Inventors.
- (X) A Power of Attorney Form and Copy of Assignment.
- The present application qualifies for small entity status under 37 C.F.R. § 1.27. (X)
- (X) A Notice to File Missing Parts.
- An Information Disclosure Statement. (X)
- (X) A PTO Form 1449 with seven (7) references.
- (X) Return prepaid postcard.

Case Docket No. CTJPL.010A

Date: July 15, 2004

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(X)	reesa	as calcul	ıatea	nelow:

FEE FOR EXTENSION OF TIME (LARGE ENTITY)	\$	0
SURCHARGE 37 CFR 1.16(e)	\$ -	+ 130
TOTAL OF ABOVE CALCULATIONS	\$	130
REDUCTION BY ½ FOR FILING BY SMALL ENTITY.		
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached.		65
TOTAL FEES SUBMITTED HEREWITH	\$	65

- (X) A check in the amount of \$65 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

Pui Tong Ho

Registration No. 44,155

Attorney of Record

Customer No. 20,995

(949) 760-0404

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